

UFLPA Risk Framework

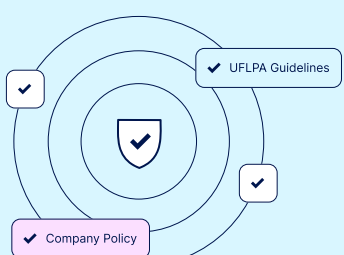
The UFLPA Risk Framework integrates Certa's robust workflow automation and compliance assessment capabilities with Sayari's comprehensive global ownership and risk intelligence for greater transparency into forced labor exposure in your supply chain.

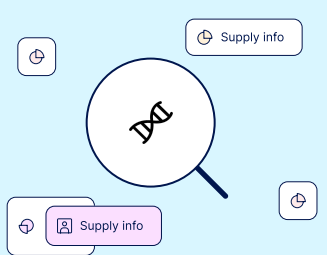
Companies of all sizes are struggling with Tier N visibility to comply with global modern slavery and forced labor laws like the Uyghur Forced Labor Prevention Act (UFLPA). The UFLPA prohibits all goods, wares, and merchandise made wholly or in part with Xinjiang forced labor from entering U.S. markets and went into effect on June 21, 2022. To effectively meet these regulations, companies need to provide a comprehensive risk framework which encompasses supplier outreach, data enrichment and due diligence.

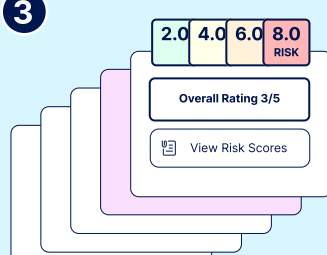
How does Certa's UFLPA Risk Framework solution work?

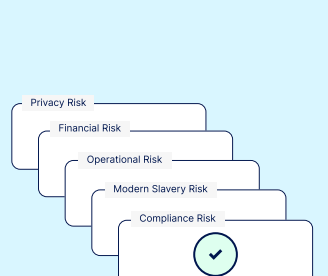
Certa's workflow automation tool combined with industry-leading risk insights from our partner Sayari, uncover risk factors directly tied to forced labor and modern slavery. The U.S. Customs and Border Patrol (CBP) leverages Sayari insights for their own enforcement of the UFLPA. Furthermore, Certa's ability to collect information from your suppliers on their own critical suppliers and combine that with independently sourced Tier N illumination provides a complete view of one's supply chain risk.

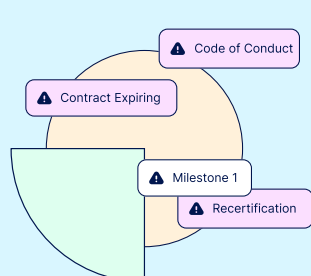
Why Certa?

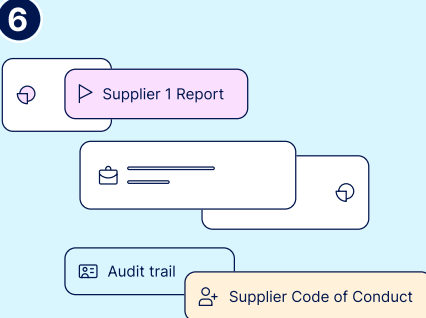
- 

Ensure a streamlined approach to communicating with suppliers about updated policies and expectations under the UFLPA
- 

Assist with careful evaluation of modern slavery and forced labor risks with your direct suppliers and their sub tiers in a single unified system
- 

Support a comprehensive risk assessment of all suppliers that can be continuously updated and monitored
- 

Incorporate all dimensions of risk including; human rights violations, modern slavery, ESG, compliance, ownership, relationship, regulatory, and more
- 

Manage and track your contractual obligations or remediation activities as well as updating Codes of Conduct
- 

Leverage robust documentation and audit capabilities

UFLPA Risk Questionnaire

✓ Does the organization's supply chain in any way include suppliers which directly or indirectly import goods from the Xinjiang Uyghur Autonomous Region ("XUAR") of China?
 Yes No

✓ Does the organization have a published supplier code of conduct forbidding the use of forced labor and addressing the risk of use of Chinese government labor schemes?
 Yes No

✓ Does the organization perform due diligence with suppliers and other stakeholders to assess and address forced labor risk?
 Yes No

✓ Does the organization assess and map forced labor risk along the supply chain from raw materials to production of the imported goods?
 Yes No

Send UFLPA questionnaires to suppliers and automatically risk rank based on their responses

Risk Factors

Critical Risk Factors (UFLPA) [Click here to investigate more](#)

✓ Forced Labor Risk (Xinjiang Import Controls Designation) **Low**

✓ Forced Labor Risk (Entity Located in Xinjiang) **Low**

✓ Forced Labor Risk (Keywords Found in Company Attributes) **High**

✓ Forced Labor Risk (Owned by Xinjiang-based entity) **Low**

Screen all disclosed and undisclosed names for UFLPA risk and other compliance risk factors

Tier N Suppliers

✓ Do you have any critical suppliers?
 Yes No

TIER 2 MAPPING

NAME	ENTITY TYPE	COUNTRY
Jinzhou Xinyu Material Co., Ltd.	company	CHN

Survey third parties for critical supplier names (Tier N suppliers)

Tier N Detail

TIER 2 MAPPING

NAME	UFLPA RISK	COUNTRY	SAYARI GRAPH LL	STATUS	ADJUDICATE
Jinzhou Xinyu Material Co., Ltd.	No	CHN	Investigate	SCREENED - NO HITS	Adjudicate
China Communication Technology Co., Ltd.	No	CHN	Investigate	SCREENED - NO HITS	Adjudicate
Zhuzhou Seed Cemented Carbide Technology Co., Ltd.	Yes	CHN	Investigate	BLOCKED	Adjudicate
Halliburton	No	USA	Investigate	SCREENED - NO HITS	Adjudicate

TIER 3 MAPPING

NAME	UFLPA RISK	COUNTRY	SAYARI GRAPH LL	STATUS	ADJUDICATE
Accenture PLC	No	IRL	Investigate	FALSE POSITIVE	Adjudicate

Perform investigative due diligence on any red flags. Plus, adjudicate hits, escalate for approval, and manage any remediation efforts on a single, unified platform

Certa offers automated solutions for enterprises to comply with legal requirements such as the Uyghur Forced Labor Prevention Act, covering areas like risk, compliance, ESG, and contract management. Key features of Certa's solutions include conducting due diligence on third parties, accessing third party data from government databases and best-in-class data partners, efficient built-in reporting and documentation capabilities, comprehensive audit trails to make audits simple, and much more.

[Schedule a demo](#) to learn how we can help your business ensure compliance with UFLPA